



*With Econ Wealth Management as your 401(k) partner, you can focus on growing your business while we focus on building your employees' future.*

### 401(k) Plan Service Providers



#### Plan Sponsor - Your Company

Plan sponsors establish and maintain the 401(k) plan. They also:

- Select service providers
- Can contribute to employee plans
- Monitor plan features



#### Investment Advisor

Investment advisors provide education and investment recommendations. They also:

- Help select plan investment options
- Provide support to participants
- Collaborate with plan service providers



#### Recordkeeper

Recordkeepers handle the plan's daily administration. They also:

- Monitor account balances
- Track investment allocations
- Record contributions and distributions



#### Third Party Administrator (TPA)

TPAs ensure the plan remains compliant with regulatory requirements. They also:

- Create custom plan designs
- Provide maintenance & compliance testing
- Prepare plan forms, such as form 5500

### Why Partner with Econ Wealth?



#### Focused Diligence

We proactively review your plan each year to ensure an appropriate selection of funds and to **minimize costs**.



#### Cost Savings

Our team assists business owners in understanding the various **tax saving benefits** of a 401(k) plan.



#### Participant Education

Our advisors offer ongoing **individualized guidance** to all participants so their plan fits their long-term goals.

**Important Disclosures:** Econ Wealth Management provides general investment education and recommendations. We do not guarantee any specific investment results. Services may be offered in a fiduciary capacity where applicable. Econ Wealth may recommend third-party service providers; we do not receive compensation from such providers unless otherwise disclosed. Econ Wealth Management is not engaged in the practice tax preparation and no comments should be construed as tax advice.. Tax information provided is general in nature. Always consult a tax professional regarding your specific tax situation.